

<b>Standards of Conduct (Transmission)</b>  <b>Policy 8 – Emergency Procedures</b>	<b>Revision: B</b>  <b>Effective Date:</b> <b>10/09/18</b>	<b>Author: General Counsel (Central Region)</b> <i>Sarah B. Knowlton</i> <small>Sarah B. Knowlton (Oct 5, 2018)</small>
<b>Approval Signature(s) and date:</b>  <b>Chief Compliance and Risk Officer</b> <i>Mary Ellen Paravalos</i> <small>Mary Ellen Paravalos (Oct 23, 2018)</small>		

**Revision History**

Revision	Date	Changes	Approved By
A	08/16/17	Created New Documentation	L. Beirsto
B	10/09/18	Annual Review; Changed Chief Compliance Officer to Chief Compliance and Risk Officer and approver from J. Tindale to M. Paravalos	M. Paravalos

**PRINCIPLE:**

This policy addresses steps to be taken to ensure continuing compliance with the Standards of Conduct.

**PRACTICE:**

- I. Algonquin Power & Utilities Corp. (“APUC”) and its subsidiaries, The Empire District Electric Company and Algonquin Tinker Gen. Co., are required to report each emergency which results in any deviation from the Standards of Conduct immediately to the Chief Compliance and Risk Officer.
- II. In the event an emergency, such as an earthquake, flood, fire or hurricane, severely disrupts the APUC Transmission Provider’s normal business operations, the posting requirements in this part may be suspended by the APUC Transmission Provider. If the disruption lasts longer than one month, the APUC Transmission Provider will notify the Federal Energy Regulatory or the NBEUB as required and may seek a further exemption from the posting requirements.
- III. Limitations on access to transmission areas can be waived in cases of properly declared system emergencies; however, all cases in which these rules are waived must be documented and posted on the appropriate APUC Transmission Provider OASIS within one business day of the act of the waiver. [Section 358.7(g) (2)]